

Adam Straseske

DBA: Red Oak Financial Asset Management

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**May 31<sup>st</sup>, 2017**

**This Brochure Supplement provides information about Adam Straseske that supplements Strategic Financial Concepts, LLC's Brochure. You should have received a copy of that Brochure. Please contact Kristen Wagner if you did not receive Strategic Financial Concepts, LLC's Brochure or if you have any questions about the contents of this supplement.**

**Additional information about Adam Straseske is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## Educational Background and Business Experience

Adam Straseske

Year of Birth: 1976

### **Formal Education after High School:**

- Northwest Louisiana University, Monroe, LA , B.A., Finance, 1998

### **Business Background:**

- Strategic Financial Concepts, LLC, Investment Adviser Rep, 11/2008 to Present
- LPL Financial, Registered Representative, 09/2009 to Present
- Mutual Service Corporation, Registered Representative, 10/2008 to 09/2009
- Everist Mortgage, Loan Originator, 06/2007 to 02/2009
- Hamilton Business Group, Inc., Business Advisor, 06/2006 to 06/2007

### **Professional Designations:**

1. Chartered Market Technician (CMT) – 2011 – Market Technicians Association, Inc.

#### *Experience Required:*

Candidate must meet all of the following requirements:

- Three years of professional analytical or investment management experience
- Member, Market Technicians Association

#### *Educational Requirements:*

Candidate must successfully complete all three levels of the CMT proctored exam process.

## Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Other Business Activities

Mr. Straseske is separately licensed as registered representative of LPL. In this capacity, Mr. Straseske is involved in the sale of securities of various types, including, but not limited to stocks, bonds, mutual funds, variable annuities, and limited partnerships. In addition, Mr.

Straseske may also be involved in the sale of insurance products. As such, Mr. Straseske can effect transactions in insurance products for clients and earn commissions for these activities.

All compensation received by Mr. Straseske for securities transactions through LPL will be separate, yet customary for effecting securities transactions, including 12b-1 fees for the sale of investment company products. Mr. Straseske may make differing recommendations with respect to the same securities or insurance products to different advisory clients. All recommendations made are specific to each client's individualized needs and current financial situation.

Mr. Straseske is an owner in Manor Fitness Properties, llc. and Manor Plaza, llc. which hold commercial real estate properties. Mr. Straseske is a published author. Mr. Straseske is the Treasurer of Cub Scouts Pack 64 and a Co-Chair of the local Austin chapter of the Market Technicians Association. Mr. Straseske is an owner in the Taylor, TX location of HT Fitness, llc.

## **Additional Compensation**

In addition, from time to time, Mr. Straseske may receive 12b-1 distribution fees from investment companies (mutual funds) in connection with the placement of client funds into investment companies through their separate capacities as registered representatives of LPL. Mr. Straseske will also sell insurance products and will receive income for the sale of such products.

As part of their fiduciary duty, Strategic Financial Concepts, LLC ("SFC") and Mr. Straseske endeavor at all times to put the interest of the client first, clients should be aware that receipt of additional compensation itself creates a potential conflict of interest.

## **Supervision**

SFC is a limited liability company. Reagan Lee Wagner is responsible for reviewing all client accounts and transactions against the signed agreement and the New Account Form for the firm, Strategic Financial Concepts, LLC. For any questions, you may contact Reagan Wagner at (210) 737-7800.